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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: MOUNT, David J.

Group Art Unit: 1746

Serial No. 10/612556

Examiner:

Filed: 07/02/2003

Atty. Dkt. No: SCF02P-CON

For: SUPERCRITICAL FLUID CLEANING PROCESS FOR PRECISION SURFACES

To: Mail Stop IDS
Commissioner for Patents
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CERTIFICATE OF MAILING 37 CFR 1.8: I certify that this correspondence is being deposited on the below date with the U.S. Postal Service with sufficient postage as FIRST CLASS MAIL, addressed to: Mail Stop IDS, Commissioner of Patents, PO Box 1450, Alexandria, VA 22313-1450

Date: 10/09/2003

Debra A. Stengel
[X] Debra A. Stengel

Commissioner:

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

Transmitted herewith is the Applicant's Information Disclosure Statement, 3 sheets form 1449, and 0 references and other information. In accordance with 37 CFR 1.98(d) a copy of this reference is not provided as it was previously submitted to, or cited by, the Office in prior Application No. 09/861,298 filed 05/18/2001, which is being relied on for an earlier effective filing date under 35 U.S.C. § 120.

Please enter in the above application and communicate in all related matters with the undersigned.

All necessary fees are intended to be included, however the Office is hereby authorized to charge any deficiency or credit any overpayment in the fees to deposit account #500323.

Respectfully submitted,

Andrew P. Cernota

Vernon C. Maine, Reg. No. 37,389
Scott J. Asmus, Reg. No. 42,269
Neil F. Maloney, Reg. No. 42,833
Andrew P. Cernota, Reg. No. 52,711
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Debra A. Stengel
[X] Debra A. Stengel

CERTIFICATE OF MAILING 37 CFR 1.10: I certify that this correspondence is being deposited on the below date with the U.S. Postal Service with sufficient postage as EXPRESS MAIL POST OFFICE TO ADDRESSEE addressed to: Mail Stop IDS, Commissioner of Patents, PO Box 1450, Alexandria, VA 22313-1450.

Date:

[] Vernon C. Maine, Reg. No. 37,389 or [] Scott J. Asmus, Reg. No. 42,269

Commissioner:

INFORMATION DISCLOSURE STATEMENT

Applicants submit this statement and the attached 3 sheet(s) of form PTO-1449 and 0 references and other information in accordance to the duty of disclosure under 37 C.F.R. §§1.56, 1.97, and 1.98, and requests consideration hereof. In accordance with 37 CFR 1.98(d) a copy of this reference is not provided as it was previously submitted to, or cited by, the Office in prior Application No. 09/861,298 filed 05/18/2001, which is being relied on for an earlier effective filing date under 35 U.S.C. § 120.

Compliance with 37 C.F.R. §1.97: This Information Disclosure Statement is filed within three (3) months of the filing date of a National Application or before the mailing date of a first office action on the merits. No fee or certification is required.

Information Cited: The Applicants hereby make of record in the above-identified application, the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the reference. As all the references listed on attached Form PTO-1449 are in English, no commentary is required.

Remarks: A copy of each reference, together with a listing on Form PTO-1449(modified), is submitted herewith. Applicants respectfully request that:

1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
2. The enclosed form PTO-1449 (modified) be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application; and
3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicants make no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicants make no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicants make no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined in 37 C.F.R. §102.

Notwithstanding any statement by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted,



Vernon C. Maine, Reg. No. 37,389
Scott J. Asmus, Reg. No. 42,269
Neil F. Maloney, Reg. No. 42,833
Andrew P. Cernota, Reg. No. 52,711
Attorneys/Agents for Applicant

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O I P E

Oct 14 2003

PATENT

Form PTO-1449 INFORMATION DISCLOSURE STATEMENT BY APPLICANT				<i>Complete if Known</i>	
				Application Number	10/612,556
				Filing Date	07/02/2003
				First Named Inventor	MOUNT, David J.
				Group Art Unit	1746
				Examiner Name	
Sheet	1	of	3	Attorney Docket Number	SCF02P- CON

U.S. PATENT DOCUMENTS						
Examiner Initials	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Code	Kind			
	*	4,944,837		Nishikawa et al.	07-31-1990	
	*	5,071,485		Matthews et al.	12-10-1991	
	*	5,261,965		Moslehi	11-16-1993	
	*	5,314,574		Takahashi	05-24-1994	
	*	5,377,705		Smith Jr. et al	01-03-1995	
	*	5,401,322		Marshall	03-28-1995	
	*	5,417,768		Smith, Jr. et al.	05-23-1995	
	*	5,494,526		Paranjpe	02-27-1996	
	*	5,505,219		Lansberry et al.	04-09-1996	
	*	5,509,431		Smith, Jr. et al.	04-23-1996	
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	*	5,783,082		DeSimone et al.	06-21-1998	
	*	5,868,862		Douglas et al.	02-09-1999	
	*	5,873,948		Kim	02-23-1999	
	*	5,881,577		Sauer et al.	03-16-1999	
	*	5,908,510		McCullough et al.	06-01-1999	
	*	5,944,996		DeSimone et al.	08-31-1999	

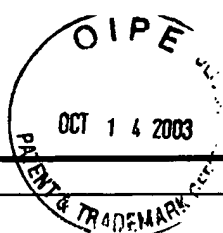
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Examiner Signature	Date Considered
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* EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.



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	*	2002/0048731	A1	MULLEE	05-25-2002	
	*	3,968,885		Hassen et al	07-13-1976	
	*	4,355,937		Mack et al	10-26-1982	
	*	4,827,867		Takei et al	05-09-1989	
	*	5,013,366		Jackson et al	05-07-1991	
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	*	5,976,264		McCullough et al.	11-02-1999	
	*	6,024,801		Wallace et al.	02-15-2000	
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	*	6,090,217		Kittle	07-18-2000	
	*	6,092,538		Arai et al	07-25-2000	
	*	6,425,956		Cotte et al	07-30-2002	

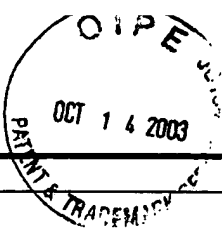
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		Office Code	Number	Kind				
	*	WO	99/49998		THE REGENTS OF THE UNIVERSITY OF CALIFORNIA	10-07-1999		
	*	WO	99/49996		THE REGENTS OF THE UNIVERSITY OF CALIFORNIA	10-07-1999		
	*	WO	01/33615	A2	Supercritical Systems, Inc.	05-10-2001		
	*	WO	01/46999	A2	Supercritical Systems, Inc.	06-28-2001		
	*	WO	01/82368	A2	Tokyo Electron Limited	11-01-2001		
	*	JP	04017333	A	Okochi et al	01-22-1992		

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